

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

HOUN SIMON HSIA et al.

Serial No.: Not Yet Assigned

Filed: Herewith (November 13, 2003)

**For: COMPOSITION AND METHOD
FOR TREATING NONALCOHOLIC
STEATOHEPATITIS**

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) **Previous Group Art Unit: 1615**
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) **Previous Examiner: G. Kishore, Ph.D.**
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INFORMATION DISCLOSURE STATEMENT

MAIL STOP PATENT APPLICATION - DIVISIONAL

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 223123-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the following information is brought to the attention of the Examiner. The items are listed on the attached form PTO-1449.

These items were cited in the previous application serial no. 09/726,688 filed on November 29, 2000 and copies are not enclosed.

The items identified in this Information Disclosure Statement may or may not be "material" pursuant to 37 CFR § 1.56 and the submission thereof by Applicant shall not be construed as an

CERTIFICATE OF MAILING (37 C.F.R. §1.10)

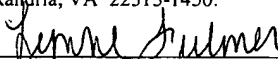
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the MAIL STOP PATENT APPLICATION - DIVISIONAL, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Lynne Fulmer

admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

The filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information, as defined in 37 CFR § 1.56, exists.

The attached Information Disclosure Statement is being filed in accordance with 37 CFR §§ 1.97 and 1.98. This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b)(3), that is before the mailing of a first Office Action on the merits, and thus no petition or fee is required. However, if the undersigned representative of Applicant is in error in this regard, then the Examiner is requested to consider this IDS as filed under § 1.97(c) or (d) and is further hereby authorized to charge any fee required by the filing of these papers to Orrick, Herrington & Sutcliffe's Deposit Account No. 150665.

Respectfully submitted,

ORRICK, HERRINGTON & SUTCLIFFE LLP

Dated: November 13, 2003

By: 

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FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 708005.4004	SERIAL NO. Not Yet Assigned
	APPLICANT: HSIA, Houn Simon et al.	
	FILING DATE: Herewith (11/13/1003)	GROUP: Not Yet Assigned

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		6,180,139	1/30/2001	Hsia et al.			
		5,662,991	4/22/1997	Dannenberg et al.			
		5,595,982	1/21/1997	Harless			
		4,080,466	3/1978	Taninaka			

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
		98/18491	5/98	WO			YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		

OC-24278.1 DOCSOC1:144395.1 708005-1 K2MEXAMINER: Not Yet Assigned	DATE CONSIDERED:
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	